#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anthony J. Baerlocher

Appl. No.:

10/630.529

Conf. No.:

9259

Filed:

& TRADEMA

July 30, 2003

Title:

GAMING DEVICE HAVING A MULTIPLE COORDINATE AWARD

**DISTRIBUTOR** 

Art Unit:

3712

Examiner:

Sean M. Sprigg

Docket No.: 0112300-753

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited on the enclosed PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of any cited foreign patent documents and non-patent documents are enclosed.

#### This Information Disclosure Statement is submitted:

- Within three months of filing of a national application; within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a Request for Continued Examination.
- [X] After the period specified above, but before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application and is accompanied by one of:
  - [X] Payment of the fee set forth in 37 CFR 1.17(p); or
  - [ ] The certification specified in 37 CFR 1.97(e) follows.
- 0000062 021818 [] After the mailing the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application and is accompanied by the fee set

forth in 37 CFR 1.17(p) and the certification specified in 37 CFR 1.97(e) follows.

- [] The undersigned counsel for applicant(s) hereby certifies each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- [] The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
- [ ] A copy of a Search Report from a corresponding foreign patent application is enclosed..
- [ ] A check in the amount of \$180 to cover the required fee is enclosed.
- [X] The Commissioner is hereby authorized to charge the amount of \$180 to cover the required fee to Deposit Account No. 02-1818.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-1818.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

 $\mathcal{S}_{\mathbf{Y}} = \mathcal{S}_{\mathbf{Z}}$ 

Adam H. Masia Reg. No. 35,602

Customer No. 29159

Dated:October 12, 2006

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) FARADE TRADE

communication to applicant.

Atty Docket No. Application No. 112300-0753 10/630,529 Applicant Anthony J. Baerlocher Filing Date Group July 30, 2003 3712

		U.S. PA	TENT DOCUMENTS	8		
Examiner's Initials	Document Number	Publication  Date	Inventor	Class	Subclass	Filing Date  If Appropriate
	1,527,929	02/24/25	D. G. Simons			
	4,198,052	04/15/80	Gauselmann			
	4,508,357	04/02/85	Reich			
	4,560,161	12/24/85	Hamano			
	4,582,324	04/15/86	Koza et al.			
	4,838,552	06/13/89	Hagiwara			
	4,991,848	02/12/91	Greenwood et al.			
	5,085,436	02/04/92	Bennett			
	5,102,134	04/07/92	Smyth			
	5,178,390	01/12/93	Okada			
	5,308,065	05/03/94	Bridgeman et al.			
	5,332,228	07/06/94	Schultz			
	5,342,047	08/30/94	Heidel et al.			
	5,393,061	02/28/95	Manship et al.			
	5,423,539	06/13/95	Nagao			
	5,431,408	07/11/95	Adams			
	5,449,173	09/12/95	Thomas et al.			
	5,456,465	10/10/95	Durham		-	
	5,524,888	06/11/96	Heidel			1
	5,569,084	10/29/96	Nicastro et al.			<u> </u>
	5,711,525	01/27/98	Breeding			
	5,775,692	07/07/98	Watts et al.			
	5,810,361	09/22/98	Kadlic			
	5,823,873	10/20/98	Moody		- :	
	5,833,537	11/10/98	Barrie			
	5,833,538	11/10/98	Weiss			-
	5,873,781	02/23/99	Keane			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not Draw line through citation if not in conformance and no	

(Use several sheets if necessary)

Atty Docket No. 112300-0753	Application No. 10/630,529
Applicant	
Anthony	J. Baerlocher
Filing Date	Group
July 30, 2003	3712

		U.S. PA	TENT DOCUMENT	`S		
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date  If Appropriate
	5,902,184	05/11/99	Bennett et al.			
	5,927,714	07/27/99	Kaplan			
	5,947,820	09/07/99	Morro et al.			
	5,964,463	10/12/99	Moore, Jr.			
	5,967,894	10/19/99	Kinoshita et al.			
	5,980,384	11/09/99	Barrie			
	5,984,781	11/16/99	Sunaga			
	5,997,400	12/07/99	Seelig et al.			
	5,997,401	12/07/99	Crawford			
	6,019,369	02/01/00	Nakagawa et al.			
-	6,033,307	03/07/00	Vancura			
	6,056,642	05/02/00	Bennett			
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	6,062,980	05/16/00	Luciano			
	6,093,102	07/25/00	Bennett			
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	6,120,031	09/19/00	Adams			
	6,126,541	10/03/00	Fuchs			
	6,142,874	11/07/00	Kodachi et al.			
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	6,149,156	11/21/00	Feola			
	6,149,157	11/21/00	Suan			
	6,155,925	12/05/00	Giobbi et al.			
	6,159,095	12/12/00	Frohm et al.			
	6,159,096	12/12/00	Yoseloff			

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communication to applicant.	of considered. Include copy of

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Atty Docket No.	Application No.
112300-0753	10/630,529
Applicant	
Anthon	y J. Baerlocher
Anthon Filing Date	y J. Baerlocher Group

		U.S. PA	TENT DOCUMENTS	3		
Examiner's	Document	Publication				Filing Date
Initials	Number	Date	Inventor	Class	Subclass	If Appropriate
-	6,159,097	12/12/00	Gura			
	6,162,121	12/19/00	Morro et al.			
	6,168,520 B1	01/02/01	Baerlocher et al.			
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	6,174,233 B1	01/16/01	Sunaga et al.			
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	6,190,254 BI	02/20/01	Bennett			
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_	6,203,429 B1	03/20/01	Demar et al.			
	6,210,279 B1	04/03/01	Dickinson			
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	6,220,959 B1	04/24/01	Holmes, Jr. et al.			
	6,231,445 B1	05/15/01	Acres			
	6,234,897 B1	05/22/01	Frohm et al.			
	6,251,013 B1	06/26/01	Bennett			
-	6,261,177 B1	07/17/01	Bennett			
-	6,270,409 B1	08/07/01	Shuster			
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	6,319,124 B1	11/20/01	Baerlocher et al.			
	6,322,078 B1	11/27/01	Adams			<u> </u>
	6,328,649 B1	12/11/01	Randall et al.			
	6,347,996 B1	02/19/02	Gilmore et al.			
	6,358,144 B1	03/19/02	Kaddlic et al.			

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		U.S. PA	TENT DOCUMENTS	3		
Examiner's	Document	Publication	-	1		Filing Date
Initials	Number	Date	Inventor	Class	Subclass	If Appropriate
	6,364,766 B1	04/02/02	Anderson et al.			
	6,364,767 B1	04/02/02 .	Brossard et al.			
	6,375,187 B1	04/23/02	Baerlocher			
	6,375,570 B1	04/23/02	Poole			
	6,394,902 B1	05/28/02	Glavich et al.	-		
	6,398,218 B1	06/04/02	Vancura			
	6,406,369 B1	06/18/02	Baerlocher et al.			<u> </u>
	6,413,160 B1	07/02/02	Vancura			
	6,413,161 B1	07/02/02	Baerlocher et al.			
	6,413,162 B1	07/02/02	Baerlocher et al.			
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	6,435,511 B1	08/20/02	Vancura et al.			
	6,439,943 B1	08/27/02	Aoki et al.			
	6,439,993 B1	08/27/02	O'Halloran			
	6,439,995 B1	08/27/02	Hughs-Baird et al.			
	6,443,452 B1	09/03/02	Brune			
	6,443,837 B1	09/03/02	Jaffe et al.			
	6,454,266 B1	09/24/02	Breeding, et al.			
	6,461,241 B1	10/08/02	Webb et al.			
	6,464,582 B1	10/15/02	Baerlocher et al.			
	6,491,584 B2	12/10/02	Graham et al.			
	6,494,454 B2	12/17/02	Adams			
	6,494,785 B1	12/17/02	Gerrard et al.			
	6,506,117 B2	01/14/03	DeMar et al.			
	6,506,118 B1	01/14/03	Baerlocher et al.			
	6,514,141 B1	02/04/03	Kaminkow et al.	<u>†</u>		
	6,561,899 B2	05/13/03	Vancura		<u> </u>	

	6,514,141 B1	02/04/03	Kaminkow et al.			
	6,561,899 B2	05/13/03	Vancura			
	1					
Examiner:	Examiner: Date Considered:					
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PTC	) Form	1449

Atty Docket No.	Application No.
112300-0753	10/630,529
Applicant	
1 1 1	
••	y J. Baerlocher
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		U.S. PA	TENT DOCUMENTS			
Examiner's Initials	Document Number	Publication  Date	Inventor	Class	Subclass	Filing Date  If Appropriate
	6,569,015 B1	05/27/03	Baerlocher et al.			
	6,575,830 B2	06/10/03	Baerlocher et al.			
	6,585,591 B1	07/01/03	Baerlocher et al.			
	6,595,854 B2	07/22/03	Hughs-Baird et al.			
	6,599,192 B1	07/29/03	Baerlocher et al.			
	6,604,740 B1	08/12/03	Singer et al.	<del>-</del>		
	6,616,142 B2	09/09/03	Adams	,		
	6,620,045 B2	09/16/03	Berman et al.			
	6,632,139 B1	10/14/03	Baerlocher			
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	6,648,754 B2	11/18/03	Baerlocher et al.	•		
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	2002/0045475 A1	04/18/02	Glavich et al.			
	2002/0072402 A1	06/13/02	Baerlocher			
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	2002/0187827 A1	12/12/02	Blankstein			
	2003/0013514 A1	01/16/03	Cregan et al.	· · · · · ·	-	
	2003/0040355 A1	02/27/03	Baerlocher			
	2003/0040360 A1	02/27/03	Joseph E. Kaminkow	· · · · · · · · · · · · · · · · · · ·		
	2003/0045344 A1	03/06/03	Webb et al.			<del> </del>
	2003/0045348 A1	03/06/03	Palmer et al.			+
	2003/0045350 A1	03/06/03	Baerlocher et al.			
	2003/0060260 A1	03/27/03	Gerrard et al.			<del> </del>

	2003/0060260 A1	03/2//03	Gerrard et al.		
Examiner:			Date	Consid	ered:
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112300-0753	10/630,529
Applicant	
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U.S. PATENT DOCUMENTS						
Examiner's	Document	Publication				Filing Date
Initials	Number	Date	Inventor	Class	Subclass	If Appropriate
	2003/0064795 A1	04/03/03	Baerlocher et al.			
	2003/0087693 A1	05/08/03	Baerlocher et al.			
	2003/0157982 A1	08/21/03	Gerrard et al.			
	2003/0211880 A1	11/13/03	Locke			
	2004/0009805 A1	01/15/04	Baerlocher et al.			
<del>-  -</del>	2004/0106444 A1	06/03/04	Cuddy et al.			

Examiner's	Document	Publication	TENT DOCUME		1	Trans	lation
Initials	Number	Date	Country	Class	Subclass	Yes	No
	AU 199717601 B2	09/10/97	Australia				
	AU 199917318 A1	09/09/99	Australia				
	EP 0926645 A2	06/30/99	Europe				
	EP 0944030 A2	09/22/99	Europe				
	EP 0981119 A2	02/23/00	Europe				
	EP 0984408 A2	03/08/00	Europe				
	EP 0984409 A2	03/08/00	Europe				
	WO 97/32285	09/04/97	PCT			-	
	WO 00/12186	03/09/00	PCT				
	WO 00/66235	11/09/00	PCT				
	WO 00/76606 A1	12/21/00	PCT			<del>                                     </del>	
	WO 2004/025584 A2	03/25/04	PCT				
	WO 2005/099425	10/27/05	PCT		-		

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Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Atty Docket No.	Application No.
112300-0753	10/630,529
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July 30, 2003	3712

Examiner's	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
Initials					
	A Vamp for All Seasons Article (IGT), written by Strictly Slots, published in 2002.				
	Addams Family Article (IGT), written by Strictly Slots, published in 2000.				
	Battleship Advertisement, printed from www.mikohn.com, on April 25, 2001.				
	Battleship Article (Mikohn), written by Strictly Slots, published in 2000.				
	Black Swan Paytable Display, written by IGT, published prior to 2001.				
	Boot Scootin Article, written by Strictly Slots, published prior to July 2002.				
	Break the Spell Advertisement, written by Atronic Casino Technology, Ltd., published in 1999.				
	Break the Spell Article, written by Strictly Slots, published in September 2000.				
	Break the Spell, printed from www.atronic.com on July 15, 2001.				
	By George Advertisement, written by IGT, published in 2002.				
	Cash Chameleon Article, written by Strictly Slots, published in April 2001.				
	Cash for Life - Offer Bonus Advertisement/Lotsa Loot Advertisement/Take It or Leave It Advertisement, written by Bally Gaming, published in 2002.				
	Cash for Life - Triple Spin Bonus Article (Bally Gaming), written by Strictly Slots, published in 2003.				
	Catch A Wave Advertisement, written by IGT, published in December 2000.				
-	Cossack Dance Advertisement, written by Olympic Video Gaming, published prior to 2002.				
	Deep Pockets Article (IGT), written by Strictly Slots, published in 2002.				
	Double Diamond Line Advertisement, written by Bally Gaming Systems, published in 2000.				
	Double Top Dollar Advertisement, written by IGT, published in 2003.				
	Enchanted Unicorn Advertisement, written by IGT, published in 2001.				
	Field Testing New Slots Article, written by Strictly Slots, published in July 2000.				
	Fire and Fortune Article (Anchor Games), written by Strictly Slots, published in 2001.				
	Fishin' Buddies Article, written by Strictly Slots, published in April 2001.				
	Fortune Cookie Advertisement, written by www.igt.com, printed March 21, 2001.				
	Fortune Cookie Advertisement, written by IGT, published in 2000.				
	Happy Camper Advertisement, written by IGT, published in 2001.				
	Hollywood Advertisement, written by Shuffle Master Gaming, published in 2001.				
	Introducing the "Smiling Ape" Machine Advertisement (including Joker's Wild Poker description), written by IGT, published prior to 2001.				
Examiner:	Date Considered:				

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	y J. Baerlocher
Filing Date	Group
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Examiner's	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
Initials	Jackpot Party Brochure and Articles, written by WMS Gaming, Inc, published in 1998.				
	Joker's Wild Advertisement, written by IGT, published prior to 2001.				
	King Cash Slots Advertisement, written by IGT, published in 2003.				
	Let's Make A Deal Advertisements, written by Bally Gaming/Shuffle Master Gaming, published in 2001.				
	Little Green Men, Jr. Advertisement, written by A.C. Coin, published prior to 2002.				
·	Loco Loot Article, written by Strictly Slots, published in May 2002.				
	Mountain Money Article, written by Strictly Slots, published in June 2002.				
	On the House Advertisement, written by Olympic Video Gaming, published prior to 2002.				
	Penguin Pays Advertisement, written by Aristocrat Incorporated, published in 1998.				
	Press Your Luck Article (Shuffle Master Gaming), written by Strictly Slots, published in 2000.				
	Price is Right - Cliff Hangers Description, printed from www.geocities.com on 3/21/01.				
	Price is Right -Cliff Hangers Advertisement, written by IGT, published in 2001.				
	Price is Right - Showcases Description, printed from schuminweb.com on 3/16/01.				
	Psycho Cash Beast Advertisement, written by IGT, published in 1999.				
	REEL MAGIC <sup>TM</sup> Gaming Machine Description, written by IGT, gaming machine available in 1986.				
	Symbol Feature Description in Australian UFO Gaming Machine, written by IGT, gaming machine available 1995.				
	Take Your Pick Advertisement, written by IGT/Anchor Gaming, published in 1999.				
	Take Your Pick Article (IGT), written by Strictly Slots, published in 2001.				
	The Latest Buzz Article, written by Bally Gaming Systems, published in Fall 2000.				
	Three Wishes Article (Atronic Americas), written by Strictly Slots, published in 2000.				
	Top Dollar Game Advertisement, written by IGT, published in 1998.				
	Wild Bear Salmon Run Advertisement written by IGT, published in 2003.				
	Wild Streak Article, written by Strictly Slots, published in 2001.				
	Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune), written by Olympic Video Gaming, published prior to 2005.				

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